It is with great pleasure that we are releasing our first annual report which traces our milestones from inception until 2021 and gives a glimpse of what we have achieved in the year 21-22.

I also feel an immense sense of gratitude that amidst the major upheaval in the last two years that has fundamentally changed our life, we still got opportunities to serve the urban poor either through COVID relief measures or extending home toilets wherever possible. It did not dampen the spirits of our front line warriors or hamper our efforts at innovations.

Plus codes proved to be a game changer in our vaccination campaigns and our holistic approach to safe sanitation instilled confidence in communities to use community facilities like toilets.

Social housing which had been on the back burner for over 7 years has finally come to the forefront in Kolhapur where the citywide social housing research project was successfully completed and a live project in the form of Bondre nagar slum redevelopment will unfold over the next year.

We owe our success to all our well wishers, donors, government officers, elected members and of course the vibrant communities for their able support and guidance without which this would not have been possible.

I would also take this opportunity to thank the board members and all my colleagues for their immense faith, handwork and perseverance that kept us going in the worst of times.

We hope to continue this journey into the new year with hope, happiness and renewed vigour.

Pratima Joshi
Executive Director

MESSAGE FROM THE BOARD

“Shelter Associates’ has been transforming the lives of the urban poor in Maharashtra through its sanitation and housing programs for over two decades. Very few NGOs have SA’s unique mix of unwavering social purpose, deep technical expertise, and data driven solutions.”

Vishal Jain
Board of Director - SA
Shelter Associates is a Civil Society Organization (CSO) established in 1993 to improve the living conditions of the urban poor in India. We work in slums and informal settlements to provide technical support for, and facilitate access to, improved housing and essential services. The Core Purpose of the organisation is to ‘Enhance the Quality of Life’.

Vision: An India where every citizen has access to basic infrastructure and secure tenure, leading to equal distribution of rights.

Mission: To empower communities living in informal settlements to pursue their right to dignity and an elevated quality of life.

Core Values:
- Collaboration: We believe in an inclusive approach of engaging with all potential stakeholders so that our results are the output of teamwork.
- Accountability: We are responsible for our words, actions and results.
- Innovation: We bring new and creative solutions for continuous improvement.
- Integrity: We abide by ethical and honest practices.
- Dignity: We respect differences and value freedom of expression.
- Transparency: Through our processes and actions we bring clarity, confidence and comfort to all those who engage with us.

Shelter Associates Team:
- GIS Analysts
- Architects
- M&E Experts
- Social Workers
- Urban Planners
- Research Analysts
- Volunteers
With a strong belief in a data driven approach and a significant emphasis on sanitation, housing and overall well-being of the urban poor, we are proud of being honoured at National and International Forums. Some of the prestigious awards and recognitions received by Shelter Associates are mentioned below:

**SHELTER ASSOCIATES - THEN & NOW**

FORBES RECOGNITION
Recognised as one out of 20 most powerful women as per Forbes India

LPC HONOUR AWARD
Recipient of LPC Honor Award at the U.N.-Habitat World Urban Forum 10 in Abu Dhabi

ISC-FICCI AWARD
Winner of ISC-FICCI Awards 2018 for innovative use of data

NASSCOM AWARD
Winner of NASSCOM Social Innovation Forum 2017 under the 'Environment' category

HUDCO AWARD
Winner of HUDCO Award for Best Practices in Sanitation (with PMC)

TIMES NOW AWARD
Winner of the Times Network 'Digital social innovation' award

GOOGLE EARTH HERO AWARD
The only Indian organisation to receive the Google Earth Hero Award
The journey of Shelter Associates towards community development has been fruitful & challenging at the same time. The transformation it has created among the urban poor has been very rewarding that can be proudly stated through the impact figures below:

**LOOKING BACK AT 2020-2021**

The year 2020-21 has been an arduous journey with the COVID-19 Pandemic coming into picture. For Shelter Associates, this year has been challenging, yet fruitful. Lots of new interventions and partnerships have taken place during this tenure.

**IMPACT FIGURES FROM 1994 TO 2021**

- **2,91,754** Rapid Infrastructure Mapping & Rapid Household Surveys in Slums
- **22,322** HH toilets facilitated in Pune, Pimpri-Chinchwad, Navi Mumbai & Thane,
- **1,50,000+** COVID-19 Relief materials (Masks, Soaps, Sanitizers, Handwashes) distributed
- **47,474** Plus Codes provided to the Slum HHs in 4 cities of Maharashtra

**COLLABORATED WITH GOOGLE ON PLUS CODES**

In collaboration with Google, SA initiated the Plus Code project of providing digital address codes to slum houses which can be easily navigable through Google Maps. Families could receive basic facilities and emergency services at their doorsteps very easily.

*Over 31,000 HHs are provided with Plus Codes in 2020-21*

**WORKED JOINTLY WITH UNICEF**

Provided comprehensive WASH services in 4 settlements of Pune, Thane & Navi Mumbai through Risk Communication & Community Engagement & Infection Prevention & Control along with ensuring safe use of the community toilet blocks and facilitating household toilets.

*Approx. 6,799 families (33,800 individuals) were impacted*

**JOINED HANDS WITH HT PAREKH FOUNDATION**

Promoted safe sanitation practices to families residing at 8 informal settlements of Kolhapur, Maharashtra. The project involved assessment of community sanitation facilities, advocacy with the ULB in providing sanitation facilities, provision of toilet construction materials & mobilization on sanitation & COVID19 prevention.

*1,172 families (5,860 individuals) impacted*

**PARTNERED WITH IKEA INDIA & CGF**

A Project of providing safe sanitation facilities, advocacy with the ULB and mobilizing communities on sanitation as well as COVID19 prevention is undertaken for the slum settlements of Turbhe Ward in Navi Mumbai in partnership with IKEA and Collective Good Foundation (Samhita).

*Impacted over 5,000 individuals in 4 slums*
Arun Kadekodi, President
An MTech in Computer Science and the co-founder and CEO of Soft Corner, a software product and services firm. He is an active member of the Computer Society of India.

Pratima Joshi, Secretary
Masters in Architecture and the Co-founder of Shelter Associates. She is an Aga Khan Scholar and recipient of the prestigious ‘Google Earth Hero’ honour.

Supriya Vaidya, Treasurer
A graduate in home science graduate and education, Supriya was in the teaching profession for several years. She is one of the founder directors of Noble Agro-Vet Ltd.

Snehalata Shrikhande, Member
A graduate in psychology and economics and post-graduate in sociology, Lata has been involved in environmental campaigns in Pune since the 1980s.

Nirmala Pandit, Member
She has a doctorate in human rights & taught Human Rights & International Law in the post-graduate department of law at the University of Pune.

Ashwin Trimal, Member
A B.Sc, MBA and Founder Director of real estate development company TruSpace. Also responsible for development of premium residential & commercial projects in Pune.

Vishal Jain, Member
A graduate in electrical engineering & post-graduate in management, he is the co-founder of DP Coalition & a trustee of PROOF. He is also involved in various urban issues for the poor.

Vaishali Bhagwat, Member
A Post Graduate from Cranfield University UK, she is a practicing lawyer with 22 years of experience in litigation and advisory practice in Pune and Mumbai.
SA pioneered the use of Geographic Information System (GIS) technology & Google Earth in the late 90’s for spatially mapping poverty. To do this the team conducts infrastructure surveys and household-level surveys in slums using an android application called AVNI. This data is regularly updated on a web-based platform to allow for real time monitoring and spatialized on slum-level and city-level maps. The information thus created is finally used to determine the gaps in delivery of sanitation, make the required budgetary provisions and target slum residents with the highest level of vulnerability. 299000+ households have been mapped and surveyed till date in 620 informal settlements.

<table>
<thead>
<tr>
<th>CITY</th>
<th>Hhs SURVEYED (2021-22)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pune</td>
<td>529</td>
</tr>
<tr>
<td>Navi Mumbai</td>
<td>2969</td>
</tr>
<tr>
<td>Thane</td>
<td>2451</td>
</tr>
<tr>
<td>Pimpri-Chinchwad</td>
<td>1045</td>
</tr>
</tbody>
</table>

No. of Slum HHs Mapped & Surveyed in 2021-22

6994+

2021-22
Plus Code (PC) is a digital address given to every location on earth and through this project, Shelter Associates aims to map social and basic services of the slum settlements with unique location code. This location code can be used on Google Maps and will allow slum residents to access services such as postal, emergency services, gas cylinders & other online services. In addition to that, the WASH infrastructure facilities with the slum such as Community Toilets, Manholes, Drainage Chambers, Water standposts, etc. can be located easily. City Municipal Corporations will be able to provide basic facilities like water, sanitation, waste management, electricity, etc. to every household accurately. 48000+ Plus Codes have been generated so far in the informal settlements of 5 cities of Maharashtra.

**TOTAL 16366 PLUS CODES GENERATED in 2021-22**

Dr. Vijay Patil, SBM Nodal Officer (KMC, Maharashtra) said, “Upon connecting Plus Codes to houses, KMC will receive information on property tax, water connections & other dept services seamlessly.”

- **KOLHAPUR**: 18398+
- **PUNE**: 4551
- **NAVI MUMBAI**: 9919
- **PIMPRI-CHINCHWAD**: 1896

**Persons reached through 790+ Awareness Activities**

- **50**

Slums located in four cities of Maharashtra received PC
Shelter Associates focuses on building household toilets through its ‘One Home One Toilet’ (OHOT) model which is a data-driven, community centric and fully inclusive approach to facilitating individual household toilets to the vulnerable slum families of Maharashtra. The targeted beneficiaries belong to the informal settlements of Pune, Thane, Kolhapur, Navi-Mumbai, Pimpri-Chinchwad, Sangli and Panvel. Household toilets are facilitated through a cost sharing model where the toilet construction material is provided at the doorstep of the beneficiary while they bear the cost of construction. 25000+ Household Toilets have been facilitated till date impacting over 3.9 lakh individuals.

**DRAINAGE STATUS IN PROJECT SETTLEMENTS**

<table>
<thead>
<tr>
<th>CITY (Maharashtra)</th>
<th>DRAINAGE% RISE (In project slums)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Pimpri-Chinchwad</td>
<td>From 37% to 68%</td>
</tr>
<tr>
<td>Kolhapur</td>
<td>From 23% to 37%</td>
</tr>
<tr>
<td>Navi Mumbai</td>
<td>From 13% to 46%</td>
</tr>
<tr>
<td>Thane</td>
<td>From 17% to 33%</td>
</tr>
<tr>
<td>Panvel</td>
<td>From 16% to 47%</td>
</tr>
</tbody>
</table>

**Investment by ULB on Drainage 4686 Lakhs**

**COMMUNITY MOBILIZATION AROUND SANITATION & HYGIENE IN 2021-22**

- **Persons impacted directly & indirectly through sanitation solutions (21-22): 15000+**
- **1036** Community Mobilization Activities
- **81** Volunteer Engagement Activities
- **76** Menstrual Hygiene Workshops

SA extensively mobilize communities to create awareness and bring about a behavioural change related to sanitation in the community through meetings, transect walks, informative workshops, awareness programs and focused group discussions with men, women, children, adolescent girls & boys.
The social housing journey of Shelter Associates was initiated in the year 1996. Our expertise in housing the poor has been brought forth through the implementation of three major resettlement projects in Pune and Sangli-Miraj impacting over 8000 individuals. These slum rehabilitation projects have been undertaken by taking a complete cognisance of ground realities and adopting a data driven, multi-stakeholder inclusive and a city-wide approach.

**Ongoing Project: Bondre Nagar, Kolhapur**
Under PMAY 77 families of Bondre Nagar whose houses lack adequate space, good light & ventilation and are on the verge of collapse; will be provided safe tenure and better living conditions through the project.

**Communities are mobilised through awareness programs, meetings, workshops, group discussions, creation of live mock-ups, etc. to understand & be a part of the initiative.**

**Research project:**
**Citywide Slum Rehabilitation, Kolhapur**
SA in collaboration with Pramiti foundation & funded by ATECF have completed a research project based on data driven, inclusive approach. It aims to provide a framework for implementing holistic social housing projects across 44 slums on govt land in Kolhapur.

**Project will facilitate:**
- Planning for using land as a resource & leveraging an economy of scale
- The potential to replicate impactful solutions in tier 2 and 3 cities undertaking social housing projects.

**ULB and Community Meetings Conducted (21-22)**
18
Through the medium of GIS mapping, infrastructural mapping and individual household surveys, Shelter Associates has created a strong database of slum communities and have facilitated various relief campaigns during the Covid19 outbreak. From generating awareness about COVID-19 to distributing hygiene kits and food grains, we have reached out to vulnerable slum families even during the lockdown. Moreover, 85 Covid Vaccinations Camps have also been implemented in the year 2021-22. The data is also shared with the various Municipal Corporations of Pune, Pimpri-Chinchwad, Kolhapur, Thane, Navi-Mumbai and Panvel who can use our granular data as an excellent planning tool to effectively deliver essential services.
With the objective to create awareness and bring about behavioral change in terms of sanitation, housing and varied interventions, we have extensive discussions with community members through meetings, workshops, games and focus groups with women, children, adolescent girls and boys and men. Moreover, committee of community members are formed who are trained to flag civic issues even after SA's withdrawal from the community. For every new intervention, Shelter Associates have introduced innovative ways of engaging communities and creating positive impact.

**Community Mobilization**

<table>
<thead>
<tr>
<th>Community Meetings</th>
<th>Transect Walks</th>
<th>Puppet Shows</th>
<th>Snakes &amp; Ladder</th>
<th>Maze Activity</th>
<th>Jigsaw Puzzle</th>
<th>Street Play</th>
</tr>
</thead>
<tbody>
<tr>
<td>Focus Group Discussions</td>
<td>Corner Meeting</td>
<td>Puppet Shows</td>
<td>Snakes &amp; Ladder</td>
<td>Jigsaw Puzzle</td>
<td>Street Play</td>
<td></td>
</tr>
</tbody>
</table>

Every year on World Toilet Day, toilet decoration competitions are conducted to celebrate the best decorated toilet which also showcases the pride of the communities on having a home toilet. Newly built toilets are also inaugurated on the occasion.

**Toilet Decoration Competitions**

- **2021-22**
- **50000+** Individuals reached through **9300+** Community Mobilization Activities held in 2021-22
Shelter Associates have been receiving positive media attention for its various social interventions of Data mapping, Sanitation & Hygiene, Social Housing, Plus Codes and COVID-19 Relief interventions. We have been covered by various National and International media houses, including the prestigious Forbes Inc. that has featured Pratima Joshi as one among 30 most powerful and Self-made women in India.
## BALANCE SHEET AS AT 31ST MARCH, 2022

<table>
<thead>
<tr>
<th>31.03.2021 (Rs.)</th>
<th>FUNDS AND LIABILITIES</th>
<th>SCH NO.</th>
<th>31.03.2022 (Rs.)</th>
<th>31.03.2021 (Rs.)</th>
<th>PROPERTY AND ASSETS</th>
<th>SCH NO.</th>
<th>31.03.2022 (Rs.)</th>
</tr>
</thead>
<tbody>
<tr>
<td>16,65,789.18</td>
<td>TRUST FUNDS OR CORPUS :-)</td>
<td>A</td>
<td>16,65,789.18</td>
<td>NIL</td>
<td>IMMOVABLE PROPERTIES:- (at Cost)</td>
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<td>NIL</td>
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<td>4,46,472.00</td>
<td>FURNITURE, FIXTURES AND OTHER ASSETS :-</td>
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<td>31,73,809.61</td>
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<td>INCOME OUTSTANDING :-</td>
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<td>10,69,62,771.89</td>
<td>TOTAL (Rs.)</td>
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<td>10,69,62,771.89</td>
<td>INCOME AND EXPENDITURE ACCOUNT :-</td>
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<td>7,58,59,474.03</td>
</tr>
</tbody>
</table>

The above Balance Sheet to the best of my/our belief contains a true account of the Funds & Liabilities and of the Property & Assets of Trust.

Sd/-

AS PER OUR REPORT OF EVEN DATE
FOR ACHYUT EKHE & CO.
(FORMERLY ACHYUT & EKHE)
CHARTERED ACCOUNTANTS
FIRM REGN. NO. 114973W

DATED
TRUSTEES
AT 6th September 2022

SD/

M. NO. 124933
A29A2:H45

CA Sameer Ekhe
(PARTNER)

DATED
AT 6th September 2022
## INCOME AND EXPENDITURE ACCOUNT
FOR THE YEAR ENDED 31.03.2022

<table>
<thead>
<tr>
<th>31.03.2023 (Rs.)</th>
<th>EXPENDITURE</th>
<th>SCH. NO.</th>
<th>31.03.2022 (Rs.)</th>
<th>31.03.2021 (Rs.)</th>
<th>INCOME</th>
<th>SCH. NO.</th>
<th>31.03.2021 (Rs.)</th>
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<td>BY INTEREST</td>
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<td>TO ESTABLISHMENT EXPENSES</td>
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<td>BY DIVIDEND</td>
<td>NIL</td>
<td>NIL</td>
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<td>NIL</td>
<td>TO REMUNERATION TO TRUSTEES</td>
<td>NIL</td>
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<td></td>
<td>BY DONATIONS IN CASH OR KIND</td>
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<td>61,97,115.00</td>
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<td>NIL</td>
<td>TO LEGAL EXPENSES</td>
<td>NIL</td>
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<td>BY GRANTS</td>
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<td>6,24,10,434.00</td>
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<td>75,000.00</td>
<td>TO AUDIT FEES AND</td>
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<td>TO CONTRIBUTION AND FEES</td>
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<td>CREDITOR WRITTEN BACK</td>
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<td>NIL</td>
<td>TO AMOUNTS WRITTEN OFF</td>
<td>NIL</td>
<td>56,597.00</td>
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<td>PROFIT ON SALE OF FIXED ASSETS</td>
<td>36,358.00</td>
<td>41,845.00</td>
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<tr>
<td>NIL</td>
<td>TO MISCELLANEOUS EXPENSES</td>
<td>NIL</td>
<td>NIL</td>
<td></td>
<td>BY TRANSFER FROM RESERVE</td>
<td>-</td>
<td>NIL</td>
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<tr>
<td>1,37,524.00</td>
<td>TO DEPRECIATION</td>
<td>E</td>
<td>1,83,801.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>0.00</td>
<td>TO AMOUNTS TRANSFERRED TO RESERVE OR SPECIFIC FUNDS</td>
<td></td>
<td>0.00</td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>4,49,36,082.72</td>
<td>TO EXPENDITURE ON</td>
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<td>7,00,08,543.64</td>
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<td></td>
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<td></td>
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<td></td>
<td>OBJECTS OF THE TRUST</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
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<tr>
<td>43,19,983.55</td>
<td>BY SURPLUS CARRIED OVER</td>
<td></td>
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<td>22,65,587.96</td>
<td>BY DEFICIT CARRIED OVER</td>
<td></td>
<td></td>
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<tr>
<td></td>
<td>TO BALANCE SHEET</td>
<td></td>
<td></td>
<td></td>
<td>TO BALANCE SHEET</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>TOTAL (Rs.)</td>
<td></td>
<td>7,25,32,932.60</td>
<td>4,94,68,590.27</td>
<td></td>
<td></td>
<td>7,25,32,932.60</td>
</tr>
</tbody>
</table>

AS PER OUR REPORT OF EVEN DATE
FOR ACHYUT EKHE & CO
(FORMERLY ACHYUT & EKHE)
CHARTERED ACCOUNTANTS
FIRM REGN. NO. 114973W

Sd/-

DATED TRUSTEES DATED CA Sameer Ekhe
AT 6th September 2022 AT 6th September 2022 (PARTNER)
M. NO. 124933
For the rest of us, this would be nothing out of the ordinary, but for Sagar and his family, having a toilet was a huge accomplishment.

Born to the Rode family, Sagar was brought up in Kanherwadi, Taluka-Parali, Dist- Beed. Since birth there had been a problem with Sagar’s left leg. He’d been hospitalized a number of times. With no hope whatsoever, the doctor had to amputate the leg and put on a calliper. The state of affairs remained unchanged. His father was diagnosed with cancer and succumbed to the ailment. This was the watershed point when his mother had a total breakdown. The family saw hard times financially as well as emotionally.

Sagar was always dependent on others to even move around the house, and visiting the toilet was his biggest problem. Since the family didn’t have a household toilet, his brother had to carry him everyday to the community toilet. Since his father’s death, the family had to survive on an inadequate income, and the desire to possess a toilet had taken a back seat. As years passed, it became increasingly difficult for his brother to carry Sagar to the community toilet. Sagar felt humiliated and devalued as a result of all of this.

Shelter Associates came to rescue when things were going downhill. Through the ‘One Home One Toilet’ initiative, the family received toilet-building materials while they invested in its construction. Understanding the plight of the Rode family, Shelter Associates subsidised the toilet construction. As a result, within a week of receiving the materials, the family started the construction work and got their personal toilet. Since then, not only Sagar’s but the entire family’s life has been transformed!

For the rest of us, this would be nothing out of the ordinary, but for Sagar and his family, having a toilet was a huge accomplishment.

Shobha Jinder Gaikwad, a resident of Rajendra Nagar, Kolhapur is HIV positive and this is the main reason why she has been facing denials from different hospitals and vaccination camps to get vaccinated against COVID19.

People living with HIV face a tough life owing to physical and mental stress. While the National Immunisation Programmes of COVID-19 do not exclude individuals from critical vulnerable groups such as the slum settlements, it is also true that such persons are not given priority. Such was the case of Shobha. A mother of 2 children, Shobha works as a garbage collector. She got infected with HIV through her husband who passed away a few years back. She visits the health centre every month to collect her generic medicines. She felt it important to get vaccinated against COVID-19 but was denied by Health Officers at the camp as well as the hospital authorities. She received nothing other than rejections everywhere. This incident got the attention of Shelter Associates while registering people on CoWin app at Rajendra Nagar as a part of its Vaccination Drive across the city of Kolhapur, Maharashtra.

Shelter Associates took the initiative and got in touch with the Kolhapur Municipal Corporation Deputy Commissioner and the Health Officers to bring forth this issue and help such people get vaccinated. This suggestion was taken seriously by the officials and Shobha got her first vaccine at the Vaccination camp organised by Shelter Associates at Rajendra Nagar. Through such interventions and interactions with medical authorities, Shelter Associates team made sure that people like Shobha are not denied their basic right towards medical safety.
VOLUNTEERING EXPERIENCES

Shelter Associates is grateful to the volunteers/students who have been a part of the organization and have gone back with a great amount of learning. This year too we had architectural interns and community volunteers who brought in their expertise and contributed towards the ongoing development of Shelter Associates.

I planned on volunteering for just a month but while working with SA, I realized the importance of sanitation and the significance of Covid Vaccinations through the training sessions provided by SA. While working with the community, I was also encouraged by SA to complete my education which I’d left long time back.

It’s been a pleasure working with this organisation for 2 months. I count myself lucky to work with such caring colleagues. Special thanks to my project manager Smita Kale alongwith Amar Kamthe, Shubhangi Gajbhiye & Kuldeep Lodha for all that they have done for me. Really thankful to the entire shelter team.

Seeing SA bring about a positive change regarding sanitation, I was inspired to join as a community volunteer. I am now trained to do surveys & read slum maps. Now I contribute to the development of my community which also helped me gain respect. People who would earlier call me ‘bhajiwali’ call me ‘madam’ today.

A LEARNING OPPORTUNITY

We are happy to invite students/volunteers for Data Management, Architecture, Urban planning, Social Work, Advertising and PR, Research, Documentation, etc. We ensure a good exposure and a deep understanding of ground realities for the intern/volunteer joining us.

Mail your interest to info@shelter-associates.org
THANKING OUR PARTNERS

Shelter Associates is extremely grateful to all our Partners, Urban Local Body Members and Funding Organisations for the invaluable support through which we have been able to accomplish our goals and can continue working towards the betterment of the urban poor. Together we are making a difference!

PARTNERS

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2021-22
GIVE A LITTLE, HELP A LOT

DONATION APPEAL

We request support from organizations and individuals. Your donation is welcomed in the form of financial support, services, or IT equipment. Scan the above code or contact info@shelter-associates.org